

No. / **903** /V-06/NRLM/DDU-GKY/2021 Nava Raipur Atal Nagar,

Date: **22**/03/2022

Blacklisting Order of PIA M/s MPTA Education Ltd.

Under Deen Dayal Upadhyaya Grameen Kaushalya Yojana,, project implementing agency (PIA) M/s **MPTA Education Ltd.**, having its head office at 2nd Floor, Kunal Plaza, Near Chinchwad Railway Station, Chinchwad, Pune. Pin – 411019 was sanctioned two projects for placement linked skill development program, which has been approved by the Project Approval Committee (PAC) in Chhattisgarh state. Following are the details of the PIA: -

A. Project 1:

Sanction Order Number	-	778/V-06/NRLM/DDU-GKY/2017	
Sanction Order Date	-	18.05.2017	
Scheme	-	DDU-GKY	
Project Sanctioned Date	-	2 nd PAC dated 08.05.2017	
PRN Number	-	MH20131CR1642	
Proposal Code	-	MH2013CR164220170330032008	
Project Commencement Order Date	-	17.08.2017	
MoU Date	-	19.05.2017	
Training Target	-	600	
Total Project Cost	-	Rs. 8,19,27,725/-	
Total Commenced (As on date 20.02.2022 according to Kaushal Bharat data)	-	280	
Total Trained (As on date 20.02.2022 according to Kaushal Bharat data)	-	280	
Under Training (As on date 20.02.2022 according to Kaushal Bharat data)	-	0	
Total Assessed (As on date 20.02.2022 according to Kaushal Bharat data)	-	0	
Total Appointed (As on date 20.02.2022 according to Kaushal Bharat data)	-	0	
Total Appointed (As on date 20.02.2022 according to MRIGS data)	-	260	
Total Placed (As on date 20.02.2022 according to Kaushal Bharat data)	-	0	
Total Placed (As on date 20.02.2022 according to MRIGS data)	-	177	

Details of the sanctioned trades are as follows:-

Sl	Trade of Certification	Trade Wise Target	Total Training Hour	Total Training (Months)	Hours of OJT Training	Months of OJT
1	Welding Assistant – ASC/Q3101	100	1746	9.09	400	2.08
2	Showroom Hostess / Host – ASC/Q1103	100	1663	8.66	400	2.08
3	Fitter Mechanical Assembly – CSC/Q0304	200	1608	8.38	400	2.08
4	Basic Electrician Module – ELE101	200	1718	8.95	400	2.08

[Handwritten Signature]

Fund Release Status:

The fund disbursed to the PIA for implementation of the project is mentioned below:-

Date	Instalment	Amount (Rupees)
17-08-2017	1 st Instalment (1 st Tranche)	Rs 1,22,89,159/-
23-03-2018	1 st Instalment (2 nd Tranche)	Rs 81,92,772/-
20-11-2019	2 nd Instalment (1 st Tranche)	Rs 90,25,784/-
Total		Rs 2,95,07,715/-

According to Standard Operating Procedure (SOP) page number 25, sub section (d) of section 3.1.1.1, chapter 3, minimum training to be initiated in a year as per project duration is "in case the project duration is 3 years or less but more than 2 years, at least 30% of training should commence within 12 months, at least 65% training should commence within 24 months, of the effective date of commencement of the project". The PIA had to commence training of 180 candidates till 22.08.2018 but the PIA could commence training of only 88 candidates. On 06.08.2018, the PIA was called for personal hearing but satisfactory response was not offered from PIA's end. Hence, In the 11th PAC dated 8th August 2018, target of the PIA was right-sized from 600 to 293 and the revised project cost was Rs 3,93,43,620/-. Decision letter 6430/V-06/NRLM/DDU-GKY/2019 dated 23.07.2019 was issued to the PIA in this regard.

Alert Status:

The PIA was issued following alerts for deviations in SOP according to notification 42/2016 dated 26th August 2016:-

Sl	SCN/Alert Issue Date	SCN/Alert Number	SCN/Alert Type	Reason/Subject	Response
1	30-Dec-2017	4921	Show Cause Notice	Shortfall in training commencement, Non adherence of DDUGKY Notification 53/2017	05-Jan-2018
2	10-Oct-2018	2756	Yellow Alert	Non Submission of MVR	05-Nov-2018
3	31-Jan-2019	3941	Yellow Alert	Non Compliance to Placement Norms	13-Feb-2019
4	15-Feb-2019	4289	Red Alert	No Response to Previous Alert, Financial Non Compliance	20-Feb-2019
5	24-Feb-2020	11585	Yellow Alert	Non Submission of MVR	Not Receive



The PIA was served show cause notice (SCN) 4921/V-06/NRLM/DDU-GKY/2018 dated 30.12.2017 for non adherence of DDUGKY Notification 53/2017 as there was shortfall in training commencement. The PIA was called for personal hearing on 03.01.2018 through SCN 4921 which was mailed on 31.12.2017. The PIA ensured to attend the personal hearing. Later, the PIA was intimated to attend the personal hearing on 04.01.2018 through mail. The PIA replied to the SCN 4921 that “challenges were faced by the PIA. The PIA stated that the trades selected by the PIA like Fitter Mechanical Assembly, Welding Assistant, Basic Electrician Module and Showroom Hostess are related to manufacturing sector and it requires a complete workshop. Such ready setups are only available in ITI or Polytechnic colleges or Engineering colleges and are not easily available. Hence, we need to identify the centres with only space and then procure machinery and equipment’s. The delivery and installations of the equipments also requires minimum 30-35 days of time after finalization of space. Requirement of toilets, urinals, washbasins as per SOP demand takes time to do the same and comply with the SOP norms etc”. Under clause 4.3 of memorandum of understanding (MoU), the PIA has agreed to fully and directly responsible for achieving the physical targets and corresponding financial targets of the project in full compliance with the DDU-GKY Guideline, July 2016 (as amended from time to time) and the project sanction order. The PIA shall comply with all terms and conditions in addition to the norms under DDU-GKY Guideline, July 2016 (and any additional requirements arising out of revisions in Guidelines thereto as may be mutually agreed upon. Hence, the response of the PIA to the SCN 4921 was not found satisfactory by SRLM.

The PIA was served yellow alert 2756/V-06/NRLM/DDU-GKY/2018 dated 10.10.2018 by SRLM for non submission of SF 8.10B Monthly Verification Certificate (Reference – DDUGKY SOP-II, activity point no. 3 of table – 8.2.4, clause – 8.10.2, page number – 126). Monthly Verification Certificate issued by periodical auditor should be submitted to SRLM by 16th of every month for previous month. The PIA had replied to the yellow alert on 05-11-2018 and shared hard copy of MVR.

The PIA was served yellow alert for 3941/V-06/NRLM/DDU-GKY/2018 dated 31.01.2019 by SRLM for lower placement percentage. The PIA responded to the alert on 13.02.2019. In the response of the PIA, the PIA has just shared the number of appointed candidates in two batches. The number of placed candidates was not confirmed by the PIA or nor such document was shared by the PIA. But, as DDUGKY is placement linked skill development program, so, low placement percentage of the PIA reflects that the PIA had casual attitude of the PIA towards implementation of DDU-GKY project.

The PIA was served red alert 4289/V-06/NRLM/DDU-GKY/2019 dated 15.02.2019 by SRLM default in submission of SF 8.10 B Monthly Verification Certificate for the month of December 2018. The PIA replied to the alert 4289 on 20.02.2019 through mail. The PIA stated that “have submitted Annual financial report along with the Monthly Verification report in described format for period – (Aug’17 to May’18 # Dated 29.10.18) / (June’18 to Sept’18 # Dated 05.11.18) / (Oct’18 & Nov’18 # Dated 31.12.18) – Attached acknowledgment copy FYR”. Also, the PIA shared hardcopy of SF 8.10B for the month of December 2018 through mail.

The PIA was served yellow alert 11585/V-06/NRLM/DDU-GKY/2019 dated 24.02.2020 by SRLM for non submission of SF 8.10 B Monthly Verification Certificate for the period of December 2019 and January 2020. The PIA did not respond to the alert 11585, which is presumed that the PIA had casual attitude of the PIA towards implementation of DDU-GKY project.



Yellow alert 2756, 3941, 11585 and red alert 4289 were served to the PIA for non submission of SF 8.10 B Monthly Verification Certificate. The PIA should share Monthly Verification Certificate (MVR) issued by periodical auditor should be submitted to SRLM by 16th of every month for previous month as per DDUGKY Standard Operating Procedure – II, activity point no. 3 of table – 8.2.4, clause – 8.10.2, page number – 126. The PIA shared MVR after issue of these many alerts, by which, it is presumed that the PIA had casual attitude of the PIA towards implementation of DDU-GKY project.

Covid Scenario

1. A letter with reference number 11945/V-06/NRLM/DDU-GKY/2020 dated 19.03.2020 was served to the PIAs where all the PIAs running in the state of Chhattisgarh were asked to close their training centres because of first wave of Novel Corona Virus disease (Covid-19).
2. MoRD office memorandum J-17060/223/2016DDU-GKY dated 09.09.2020 shared by SRLM on 15.09.2020 to PIAs directing them to take up training centres in the Covid scenario.
3. Letter with reference number 1696/V-06/NRLM/DDU-GKY/2020 dated 01.10.2020 was sent to the PIAs, where PIAs were instructed to attend an online meeting on 03.10.2020 to restart the training centres.
4. Letter with reference number 17/V-06/NRLM/DDU-GKY/2020 dated 09.10.2020 was sent to the PIAs, where PIAs were instructed to restart the training centres within 10 working days after submission of due diligence request.
5. Letter with reference number 824/V-06/NRLM/DDU-GKY/2020 dated 10.11.2020 was sent to the PIAs, where PIAs were asked about the due diligence submission date and batch commencement date.
6. Again, online review of the PIAs was done on 13.11.2020 to get plan of the PIAs to restart the training centres.
7. To start the training centres with a pace and get maximum candidates under DDUGKY, a letter with reference number 1077/V-06/NRLM/DDU-GKY/2020 dated 11.12.2020 was sent to the PIAs, where PIAs (only those PIAs whose training centres were not reopened after Covid) were asked to reopen the training centres and inform SRLM through mail about opening of training centres.
8. To monitor the training and implementation plan of the PIAs, SRLM had conducted review meeting of the PIAs from 8th to 10th February 2021. Only authorized signatory / director / project head / state head were asked to attend the review meeting through letter number 1569//V-06/NRLM/DDU-GKY/2021 dated 06.02.2021.

Above instruction, letters and review meetings were organized by Chhattisgarh SRLM during and after Covid in order to have smooth implementation of the DDU-GKY program. Still, the second project of MPTA Education Limited did not run properly and performance of the PIA was abysmal. The details of the second project in written below:-

B. Project 2:

Sanction Order Number	-	8549/V-06/NRLM/DDU-GKY/2019	
Sanction Order Date	-	26.11.2019	
Scheme	-	DDU-GKY	
Project Sanctioned Date	-	17 th PAC dated 22.10.2019	
PRN Number	-	MH20131CR1642	
Proposal Code	-	MH2013CR164220190529CG1013739	
Project Commencement Order Date	-	29.01.2020	
MoU Date	-	16.12.2019	
Training Target	-	350	
Total Project Cost	-	Rs. 3,64,48,954/-	
Total Commenced (As on date 20.02.2022 according to Kaushal Bharat data)			-280
Total Trained (As on date 20.02.2022 according to Kaushal Bharat data)			-0
Under Training (As on date 20.02.2022 according to Kaushal Bharat data)			-35(Batches not closed)
Total Assessed (As on date 20.02.2022 according to Kaushal Bharat data)			-0

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Total Appointed (As on date 20.02.2022 according to Kaushal Bharat data) -0
 Total Placed (As on date 20.02.2022 according to Kaushal Bharat data) -0

Details of the sanctioned trades are as follows:-

Sl	Trade of Certification	Trade Wise Target	Total Training Hour	Total Training (Months)	Hours of OJT Training	Months of OJT
1	Fitter/Mechanical Assembly – CSC/Q0304	350	1109	5.77	200	1.04

As per parameter change request of the PIA, in the 18th PAC dated 02.11.2020, the trade of certification was revised and a target of 210 candidates in the trade “Fitter Mechanical” and 140 candidates in the trade “Showroom Hostess” was sanctioned to the PIA. The PIA was informed about the decision of PAC through letter number 1120/V-06/CGSRLM/DDU-GKY/2020 dated 04.01.2021.

Fund Release Status:

The fund disbursed to the PIA for implementation of the project is mentioned below:-

Date	Instalment	Amount (Rupees)
07-01-2020	1 st Instalment (1 st Tranche)	Rs 53,53,343/-
13-03-2020	1 st Instalment (2 nd Tranche)	Rs 35,68,896/-
Total		Rs 89,22,239/-

Alert Status:

The PIA was issued following alerts for deviations in SOP according to notification 42/2016 dated 26th August 2016:-

Sl	SCN/Alert Issue Date	SCN/Alert Number	SCN/Alert Type	Reason/Subject	Response
1	30-Jun-2020	655	Yellow Alert	Non-submission of SF 8.10B Monthly Verification Certificate for the period of Feb 2020 to May 2020.	13-Jul-2020
2	30-Jan-2021	1505	Show Cause Notice	Non commencement of the batches post lockdown	13-Feb-2021
3	19-Aug-2021	323	Penalty Imposition	Non-opening of training centre, Escalation of 1505	22-Sep-2021
4	21-Sep-2021	372	Show Cause Notice	Closure of training centre, Non commencement of training, Non completion of training of 35 candidates with batch code 03189253133588284823922, No response of penalty imposition letter number 323, Non payment of minor penalty (letter number 322)	Not Receive

dfc.

The PIA was served yellow alert 655/V-06/NRLM/DDU-GKY/2018 dated 30.06.2020 by SRLM for non submission of SF 8.10B Monthly Verification Certificate. In the response of the alert through mail id prasad.karhadkar@mpta.in on 13.07.2020, the PIA stated that “due to Covid – 19 pandemic, our office (MPTA) was not working from 17th March 2020 to 31st May 2020. Even after that municipal & state authorities have allowed to work with only 10 % strength in Pune. We have courier all necessary documents to our State Head Mr. Vikas Mishra. As the size of documents being too large, I am not able to send scan copies. Our reply letter is attached herewith. Mr. Vikas Mishra, our state Head, will submit hard copies next week to your office (SRLM)”. Later, the PIA submitted hard copy of MVR through speed post. Submission of MVR was informed to SRLM through mail dated 21.06.2020 by email ID Vikas.mishra@mpta.in.

The PIA was served show cause notice 1505/V-06/NRLM/DDU-GKY/2021 dated 30.01.2021 by SRLM for non commencement of DDUGKY training post lockdown. The PIA submitted their response of SCN 1505 on 13.02.2021 through mail, which is explained below:-

1. The PIA has stated in the response of SCN that “on account of the set back that the company has faced due to the pandemic of COVID-19 and the consequential lock down, the company is facing financial limitations. There is a new SOP in operation which is based on the safety norms post COVID-19. The said SOP has additional cost and administrative implications. The cost per student is increasing and additional space may be required. There has been no disclosure on this aspect as to how this additional cost would be paid / reimbursed to the company.
2. As per the PIA, post Covid pandemic, the trainees are reluctant to attend the program. Till date, the restrictions of the lock down and effect of the disease has not waned out, there are problems faced to have students for training.
3. The PIA has stated that their company has not received the payment of 3rd installment of the first project which is due since 2019 for one reason or the other. The said amount is around Rs. 59,01,543/-. Pendency of huge amount creates financial burden on the company. In order to have further implementation of the project financially feasible, it is necessary pending amounts are released at earliest”.

Analysis of SRLM on the response of the PIA on the SCN 1505:

1. The PIA did not open the training centre of second project after Covid (September 2020 onwards).
2. The PIA did not commence the training of second project after Covid (September 2020 onwards).
3. The PIA has not completed training of 35 candidates with batch code 03189253133588284823922 despite due diligence of the PIA was approved by SRLM with 70 candidates on 06.02.2020 in the trade “Fitter/Mechanical Assembly – CSC/Q0304”.
4. In clause 4.3 of MoU signed by the PIA, it is clearly stated that the PIA will be fully and directly responsible for achieving the physical targets and corresponding financial targets of the project in full compliance with the DDU-GKY guideline and the project sanction order.
5. SRLM has disbursed Rs 53,53,343/- on 07-01-2020 and Rs 35,68,896/- on 13-03-2020 i.e Rs 89,22,239/- to the second project of the PIA.
6. MPTA Education Limited P1 has applied for third instalment, where the PIA was eligible to get Rs 48,27,314/- based on placement success rate but as there was no progress in the second project. So, third instalment of the first project was not released to the PIA by SRLM.
7. The response of the PIA “of not running the training centre of second project as 3rd instalment of first project has not been released by SRLM” is not satisfactory. The PIA should have implemented second project timely as per DDUGKY guideline.
8. It is presumed that the PIA has not taken the second project seriously.

J.C.

The PIA was served "Notice of Imposition of Penalty" letter 323/V-06/NRLM/DDU-GKY/2021 dated 19.08.2021 by SRLM which was escalation of show cause notice 1505/V-06/NRLM/DDU-GKY/2021 dated 30.01.2021. Fine of Rs 50,000/- (minor penalty) was imposed on the PIA by SRLM. The PIA did not pay the penalty amount. A reminder mail was sent by SRLM in this regard (non deposition of minor penalty) on 13.09.2021. Till date 08-03-2022, the PIA has not paid the imposed fine.

The PIA was served Show Cause Notice (SCN) letter 327/V-06/NRLM/DDU-GKY/2021 dated 21.09.2021 by SRLM for following reasons:-

1. Non opening of training centre.
2. Non commencement of the training.
3. Non completion of training of 35 candidates with the batch code 03189253133588284823922.
4. No response of Notice of Imposition of Penalty 323/V-06/NRLM/DDU-GKY/2021 dated 19-08-2021 by the PIA till date 13-09-2021.
5. Non payment of minor penalty amount by the PIA.

The PIA did not respond to the SCN 327 dated 21.09.2021 which shows that the PIA has not taken the project seriously.

The PIA was called for personal hearing on 11.11.2021 by SRLM, which was informed to the PIA through mail dated 29.10.2021 by SRLM. On 29.10.2021, Mr. Madhukar from email ID mp@mpta.in has replied that MPTA has Diwali holiday up-to 12th November 2021. On 08.11.2021 SRLM has mailed PIA and informed about rescheduling of personal hearing on 22.11.2021. The PIA was informed telephonically about rescheduling of personal hearing. Still, none of the representatives were present on the day of personal hearing. Again, on 22.11.2021, a confirmation mail was done to the PIA by SRLM to ensure about presence of the PIA in personal hearing which was not replied from PIA's end.

As per DDUGKY notification 08/2020 dated 9th March 2020, page number 21, if a PIA fails to pay the penalty and also does not take any other action on it, the project may be terminated after following due process of opportunity of hearing. But, when the PIA was called for personal hearing on 22.11.2021, the PIA did not attend personal hearing.

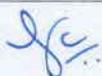
As per notification 08/2020 dated 9th March 2020, page number 20, whenever a project is terminated, the PIA should be blacklisted and debarred for a period of at least 3 years.

From the above analysis, it is clear that the PIA M/s MPTA Education Limited has been severely negligent and ignorant of its duties and commitments as a PIA and has caused major setback to the project assigned to them.

After reviewing the progress of the PIA, in 20th Project Approval Committee (PAC) dated 07.12.2021, "decision of termination and black-listing was taken along with recovery of the amount disbursed to the PIA by SRLM by imposing 10% interest on the amount disbursed to the PIA by SRLM" was taken by PAC members. The PIA was informed about the decision of 20th PAC by decision letter 699/V-06/CGSRLM/DDU-GKY/2021 dated 14.12.2021.

Therefore, a major penalty of Rs 1,07,06,687/- (One Crore Seven Lakhs Six Thousand Six Hundred and Eighty Seven rupees) is hereby imposed on the PIA and is directed to deposit the sum imposed on the PIA in the following account of CG-SRLM within 25 days of receipt of the order.

Name of Bank	HDFC
Branch	Sector 27, Nava Raipur
IFSC Code	HDFC0009306 (Fifth character is zero)
Account No.	50100441926790
Name	CHHATTISGARH GRAMIN AAJEEVIKA SAMVARDHAN SAMITI DDU-GKY



It is hereby declared that as the PIA M/s **MPTA Education Limited** did not implement the DDUGKY project as per guidelines and has not placed a single candidate in the second project. It is hereby declared that the PIA M/s **MPTA Education Limited** is blacklisted, terminated and debarred for a period for 3 years i.e. till March 2025 from the state of Chhattisgarh from getting sanction of any DDUGKY or Roshni project.


Effat Ara (IAS)

Mission Director (SRLM)

O/o Development Commissioner
Indrawati Bhawan, Nava Raipur
Atal Nagar, Chhattisgarh

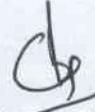
No. 504 /V-06/NRLM/DDU-GKY/2022

Nava Raipur, Atal Nagar,

Date: 22/03/2022

Copy to:

1. **Joint Secretary (Skills)**, Rural Skills Division, DDU-GKY Dept. MoRD, NDCC Building-II, Jai Singh Road, New Delhi- 110001 for information and necessary action.
2. **Staff Officer**, Principal Secretary, Govt. of Chhattisgarh, Dept. of Panchayat and Rural Development, Mahanadi Bhawan, Atal Nagar, Raipur for kind information.
3. **Vice President**, NABCONS, 1st floor, NABARD Tower, 24 Rajendra Palace, New Delhi


Mission Director (SRLM)

O/o Development Commissioner
Indrawati Bhawan, Nava Raipur
Atal Nagar, Chhattisgarh